

ERISA BONDS

Protection for Pension and Welfare Plans

Help Protect Plan Assets From Fraud

ERISA BOND REQUIREMENT

The 1974 Employee Retirement Income Security Act was enacted by Congress to regulate employee benefit plans. This Act mandated that every fiduciary responsible for managing a benefit plan, and/or every individual who handles the assets of such a plan, be covered by a fidelity bond to help protect the plan's assets from fraudulent activity.

EASY TO ORDER

Simply complete the application included with your check for the premium, one year or 3 year term to our office P O Box 6717 San Jose, CA 95150 and we will mail the bond back to you

All rates are for 5 or fewer Fiduciaries, Administrators, Officers, and Employees for a loss free plan. Rates are subject to change and the company's underwriting approval. These rates do not apply to Labor Unions. Please call for a quote on those.

PLEASE TYPE OR PRINT LEGIBLY

ERISA BOND APPLICATION

For Pension and Profit Sharing Plans
(*must be Defined Contribution to be processed*)

1. Legal Name of Plan(s) [see IRS Form 5500(s)]:

2. Mailing Address:

3. Bond Amount: \$ _____

4. Effective Date: _____

5. Term: 1 Year or 3 Years

6. Total Plan Assets: \$ _____

7. Number of fiduciaries, administrators, officers, and employees: _____ (include all people having access and/or control over the funds)

8. Has applicant experienced any dishonesty losses in the last 6 years? Yes No (If yes, attach explanation)

* Amount of Bond Premium enclosed: \$ _____

Dated this _____ day of _____.

By: _____
(Name and Title)

(Signature)

ERISA Rates

State	Amount of Coverage	Annual Premium	3 Year Prepaid Premium
CA	\$ 10,000	\$100	\$100
	\$ 25,000	\$100	\$109
	\$ 50,000	\$100	\$150
	\$ 100,000	\$100	\$208
	\$ 250,000	\$109	\$272
	\$ 500,000	\$150	\$374